## In The United States Patent and Trademark Office

In re patent application of Jiri Jekerle et al

U.S. Application No.: 10/565,305

I.A. Filing Date: 07/06/2004

For: TUBE BUNDLE HEAT EXCHANGER

Mail Stop PCT Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

# RESPONSE TO NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

This is in response to the Notification of Missing Requirements mailed December 13, 2006, copy attached.

The signed Inventors' Declaration is submitted herewith.

It is hereby petitioned that any required extension of time be granted for filing the missing requirements.

Also submitted herewith is our check in the amount of \$130.00 to cover the surcharge for late filing of the Inventors' Declaration and any necessary extension.

The Commissioner is hereby requested and authorized to charge Deposit Account 16-2563 of Alix, Yale & Ristas, LLP for any fee, not enclosed herewith, due for any reason in connection with this or any other document filed in this application including any fees under 37 CFR 1.16 or 37 CFR 1.17. A duplicate copy of this sheet is attached.

Respectfully submitted,

JIRI JEKERLE et al

Clifford P. Kelly

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Date: January 19, 2007 750 Main Street

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I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" Service under 37 CFR 1.10 on the above date, and is addressed to "Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450".

Date: January 19, 2007

Irene O'Brien

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#### United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Vognia 22313-1450 www.uspto.gov

FIRST NAMED APPLICANT ATTY. DOCKET NO. U.S. APPLICATION NUMBER NO. 10/565,305 Jiri Jekerle

EVT/141/PC/US

INTERNATIONAL APPLICATION NO.

PCT/DE04/01439

LA. FILING DATE 07/06/2004

PRIORITY DATE 07/22/2003

2543 ALIX YALE & RISTAS LLP 750 MAIN STREET **SUITE 1400** HARTFORD, CT 06103

**CONFIRMATION NO. 9815 371 FORMALITIES LETTER** 

\*OC000000021190695\*

Date Mailed: 12/13/2006

## NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Copy of the International Application filed on 01/12/2006
- English Translation of the IA filed on 01/12/2006
- Copy of the International Search Report filed on 01/12/2006
- Copy of IPE Report filed on 01/12/2006
- Preliminary Amendments filed on 01/12/2006
- Information Disclosure Statements filed on 01/12/2006
- Oath or Declaration filed on 01/12/2006
- Request for Immediate Examination filed on 01/12/2006
- U.S. Basic National Fees filed on 01/12/2006
- Specification filed on 01/12/2006
- Claims filed on 01/12/2006
- Abstracts filed on 01/12/2006
- Drawings filed on 01/12/2006

The applicant needs to satisfy supplemental fees problems indicated below.

The following items MUST be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date. The current oath or declaration does not comply with 37 CFR 1.497(a) and (b) in that it:
  - is not executed in accordance with either 37 CFR 1.66 or 37 CFR 1.68.
- To avoid abandonment, a surcharge (for late submission of filing fee, search fee, examination fee or oath

or declaration) as set forth in 37 CFR 1.492(h) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

#### SUMMARY OF FEES DUE:

Total additional fees required for this application is \$130 for a Large Entity:

• \$130 Surcharge.

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

Registered users of EFS-Web may alternatively submit their reply to this notice via EFS-Web. <a href="https://sportal.uspto.gov/authenticate/AuthenticateUserLocalEPF.html">https://sportal.uspto.gov/authenticate/AuthenticateUserLocalEPF.html</a>

For more information about EFS-Web please call the USPTO Electronic Business Center at 1-866-217-9197 or visit our website at http://www.uspto.gov/ebc.

If you are not using EFS-Web to submit your reply, you must include a copy of this notice.

DARRELL C COTTMAN

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### PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY, DOCKET NO.
10/565,305	PCT/DE04/01439	EVT/141/PC/US

FORM PCT/DO/EO/905 (371 Formalities Notice)